

# Chemical vapour-deposited silicon nitride

## Part 1 Preparation and some properties

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Pyrolytic  $\text{Si}_3\text{N}_4$  has been deposited on a graphite substrate, using a mixture of  $\text{SiCl}_4$ ,  $\text{NH}_3$  and  $\text{H}_2$ . The pyrolysis is performed with deposition temperatures of 1100 to 1500°C, total gas pressures of 5 to 300 Torr, and flow rates of  $\text{H}_2 = 700$ ,  $\text{NH}_3 = 60$  and  $\text{SiCl}_4$  (liq.) =  $0.8 \text{ cm}^3 \text{ min}^{-1}$ . Massive amorphous and crystalline pyrolytic forms of  $\text{Si}_3\text{N}_4$  are prepared at a maximum thickness of 4.6 mm. The effects of deposition conditions on some properties of the deposited products and the dependence of formation of amorphous or crystalline deposits on deposition temperature and total pressure were investigated. The surface and cross-sectional structures show growth cones and oriented crystals which are strongly dependent on the deposition conditions. The thin deposits are translucent; the thick deposits vary in colour from white to black. The silicon content is close to the theoretical composition and independent of the deposition conditions, while the oxygen content increases with decreasing deposition temperature and total pressure. No segregation of silicon and nitrogen at cone boundaries was found.

### 1. Introduction

Recently, there has been steady interest in developing high temperature structural materials (oxides, carbides, nitrides, etc) with superior chemical, physical and thermochemical properties for use in nuclear reactors, nuclear fusion reactors and high-temperature gas turbine engines. In particular, silicon nitride ( $\text{Si}_3\text{N}_4$ ) has been investigated as a material for stator blades of high-temperature gas turbine engines for its high strength at elevated temperatures, extreme hardness, good thermal shock and creep resistance, and chemical inertness [1]. These favourable properties can be considered well established for reaction-sintered and hot-pressed  $\text{Si}_3\text{N}_4$  bodies.

The chemical vapour-deposition method (CVD) is one of the most useful techniques for preparing products with high density and purity at relatively low temperatures. Most studies on chemical vapour-deposited  $\text{Si}_3\text{N}_4$  (pyrolytic  $\text{Si}_3\text{N}_4$ , Py- $\text{Si}_3\text{N}_4$ ) have been concentrated on amorphous thin films ( $\sim 10^3 \text{ \AA}$ ) for use as a diffusion mask or an insulator in the fabrication of semiconductor devices [2-9]. When massive  $\text{Si}_3\text{N}_4$  is prepared by the

CVD method, the massive Py- $\text{Si}_3\text{N}_4$  will be more useful as a high-temperature structural material than sintered  $\text{Si}_3\text{N}_4$ . From an economic point of view, the application of higher deposition rates to the preparation of Py- $\text{Si}_3\text{N}_4$  with excellent properties is preferable. Airey *et al.* [10] prepared amorphous  $\text{Si}_3\text{N}_4$  coatings (maximum thickness = 0.8 mm) at a maximum deposition rate of  $0.4 \text{ mm h}^{-1}$  using a  $\text{SiH}_4 + \text{NH}_3/\text{N}_2$  (or  $\text{H}_2$ ) system ( $\text{N}_2$ ,  $\text{H}_2$ : diluent or carrier gas) and also prepared crystalline coatings at a maximum deposition rate of  $0.035 \text{ mm h}^{-1}$  using a  $\text{SiCl}_4 + \text{NH}_3/\text{N}_2$  (or Ar) system. The deposition rates employed by other investigators [2-9; 11-17] are only a few microns per hour. Little work dealing with the preparation of Py- $\text{Si}_3\text{N}_4$  at deposition rates of over  $0.5 \text{ mm h}^{-1}$  has been reported in the literature.

This paper describes the preparation method of massive Py- $\text{Si}_3\text{N}_4$ , the effect of deposition conditions on the surface structures of amorphous and crystalline deposits, and some of their properties. The density and the formation mechanisms will be reported in Part 2.

## 2. Experimental procedure

### 2.1. Preparation of $\text{Py-Si}_3\text{N}_4$

The experimental set-up and the reaction chamber are illustrated in Figs. 1 and 2, respectively. The reaction chamber consists of a water-cooled metal vacuum tube, a water-cooled copper electrode and a graphite heater on which  $\text{Py-Si}_3\text{N}_4$  is formed. The graphite resistance heater was made of a graphite electrode rod and was shaped to attain uniform temperature, as shown in Fig. 3. In Fig. 3, values of  $x$  and  $y$  were varied according to the intended deposition temperature ( $T_{\text{dep}}$ ).

After evacuating and flushing with  $\text{H}_2$  the reaction chamber (Fig. 2) and the gas lines (Fig. 1), the graphite substrate (Fig. 3) was directly heated

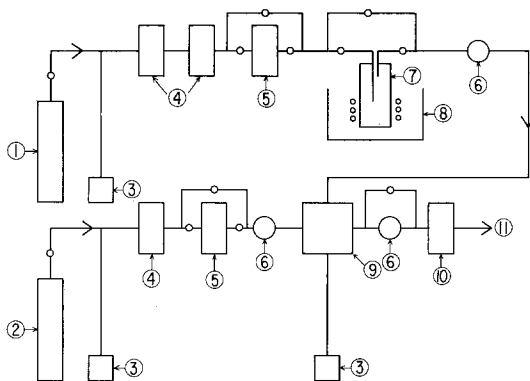


Figure 1 Schematic diagram of  $\text{Py-Si}_3\text{N}_4$  deposition apparatus. (1)  $\text{H}_2$  gas; (2)  $\text{NH}_3$  gas; (3) manometer; (4) gas purifier; (5) flowmeter; (6) pressure regulator; (7)  $\text{SiCl}_4$  reservoir; (8) constant temperature bath; (9) furnace; (10) cold trap; (11) rotary pump.

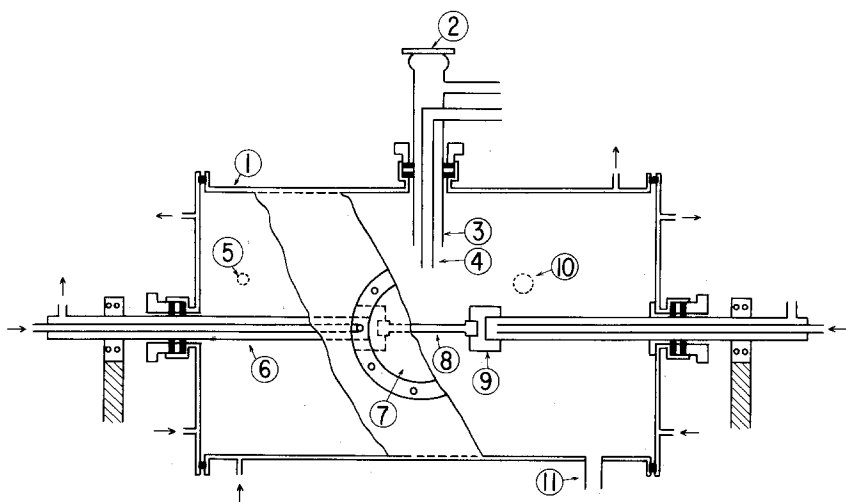


Figure 2 Furnace for  $\text{Py-Si}_3\text{N}_4$  deposition. (1) Water-cooled vacuum tube; (2) quartz glass window; (3) quartz glass inlet for  $\text{SiCl}_4 + \text{H}_2$ ; (4) quartz glass inlet for  $\text{NH}_3$ ; (5) pressure gauge; (6) copper electrode; (7) quartz glass window; (8) graphite heater (substrate); (9) graphite socket; (10, 11) gas outlets.

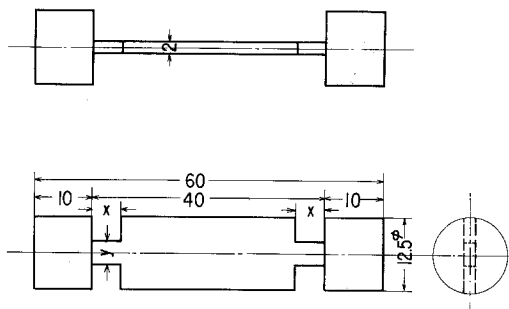


Figure 3 Graphite heater for deposition of  $\text{Py-Si}_3\text{N}_4$  ( $x = 5$  to  $7.1$  mm,  $y = 5.5$  to  $6.4$  mm).

in a stream of  $\text{H}_2$  by flow of electric current for 10 min at the desired  $T_{\text{dep}}$  under reduced pressure, to eliminate volatile impurities in the graphite substrate. The range of  $T_{\text{dep}}$  was  $1100$  to  $1700^\circ\text{C}$ . A two-colour pyrometer was used for deposition temperature measurements. At the end of a run, the temperature was lowered at a sufficiently slow rate to prevent crack formation in the deposits.

It is well known that in the presence of excess  $\text{NH}_3$  in the  $\text{SiCl}_4 + \text{NH}_3$  system, diimide is formed even below room temperature by the reaction of  $\text{SiCl}_4$  with  $\text{NH}_3$ . Diimide polymerizes readily and various kinds of complex intermediates are formed depending on the imposed temperatures [1–3]. Thus,  $\text{NH}_3$  and  $\text{SiCl}_4 + \text{H}_2$  were separately introduced into the reaction chamber to minimize the formation of diimide as shown in Fig. 2 points 3 and 4. It seems likely that the preparation of  $\text{Py-Si}_3\text{N}_4$  is affected by the total pressure of gases ( $P_{\text{tot}}$ ) in the reaction chamber, because the form-

ation and polymerization of diimide proceed through intercollisions of the raw gases or intermediates. Most of the previous work has been performed using atmospheric pressure [2–15] or low  $P_{\text{tot}}$  ( $2 \times 10^{-3}$  to 10 Torr) [16–20]. Unfortunately, there seem to be almost no systematic investigations of the relation between  $P_{\text{tot}}$  and the formation behaviour and properties of Py–Si<sub>3</sub>N<sub>4</sub>. In the present experiment,  $P_{\text{tot}}$  was varied from 5 to 300 Torr.

In analogy with the effect of  $P_{\text{tot}}$ , the distance between the outlets of the two feed lines (points 3 and 4 in Fig. 2) and the substrate (point 8 in Fig. 2) is an important factor in successfully producing the deposits. The optimum positions of outlets of NH<sub>3</sub> and SiCl<sub>4</sub> + H<sub>2</sub> are 2 and 3 cm away from the substrate, respectively. In the 4 to 20 cm range the rate of ammonolysis of SiCl<sub>4</sub> was slow, while for a distance of 1.5 cm the thickness of Py–Si<sub>3</sub>N<sub>4</sub> was not uniform. In the 2 to 4 cm range, the deposition rate was relatively high and the thickness varied by only about 5% across a 2 cm<sup>2</sup> area of the deposits.

Slow flow rates of NH<sub>3</sub> were employed in order to prevent the formation of diimide and the destruction of the graphite substrate by absorption of NH<sub>3</sub> during the deposition. Calibrated flowmeters were used to meter the amounts of H<sub>2</sub> and NH<sub>3</sub>. The vapour of SiCl<sub>4</sub> was carried into the chamber by bubbling H<sub>2</sub> through the liquid SiCl<sub>4</sub>. A SiCl<sub>4</sub> reservoir was maintained at 20° C. Flow rates of H<sub>2</sub>, NH<sub>3</sub> and SiCl<sub>4</sub> were fixed at 700, 60 and 0.8 (in liquid) cm<sup>3</sup> min<sup>-1</sup>, respectively. The deposition was done for 0.5 to 10 h. The purities of SiCl<sub>4</sub>, NH<sub>3</sub> and H<sub>2</sub> were 99.9, 99.9 and 99.9999% respectively.

## 2.2. Observation of Py–Si<sub>3</sub>N<sub>4</sub>

### 2.2.1. Microscopic observation

The Py–Si<sub>3</sub>N<sub>4</sub> formed was mounted in resin, cut perpendicular to the deposition surface and polished by an ordinary metallographic technique. The cross-section of the deposits was examined under polarized light or differential interference conditions. As-deposited surfaces were also examined.

### 2.2.2. Scanning electron microscopic observation

The as-deposited surface was coated with gold (50 to 100 Å in thickness) and examined in a SEM at magnifications  $\times 100$  to  $\times 20000$ .

### 2.2.3. X-ray diffraction analysis

X-ray measurements were made with a diffractometric unit using Ni-filtered CuK $\alpha$  radiation on powdered samples (200 to 325 mesh).

### 2.2.4. Electron probe microanalysis

The polished deposition and cross-sectional surfaces of the deposits, coated with gold (50 to 100 Å thick), were observed by means of electron probe microanalysis. Contents of silicon, nitrogen and oxygen in the deposits were calculated using the following equation:

$$C_{\text{Si, N, O}} = (K_{\text{Si, N, O}} - K_{\text{B}}) / [K_{(\text{Si, N, O})} - K_{(\text{B})}]$$

where  $C$  = content of each element in the deposits;  $K$  = counts of each element in the deposits,  $K_{\text{B}}$  = counts of background in the deposits; and  $K_{(\ )}$  = standard counts in the standard samples. Standard samples used for silicon, nitrogen and oxygen analyses were high-purity silicon, BN and Al<sub>2</sub>O<sub>3</sub> single crystal, respectively. The measurements were made under the following conditions: SiK $\alpha$  (7.126 Å), NK $\alpha$  (31.603 Å), OK $\alpha$  (23.707 Å); accelerating voltage = 10 kV; sample current = 0.1  $\mu$ A.

## 3. Results

As shown in Fig. 4, two types of Py–Si<sub>3</sub>N<sub>4</sub> (amorphous and crystalline deposits) were obtained in the present experiments. These depended markedly on  $T_{\text{dep}}$  and  $P_{\text{tot}}$ . At  $T_{\text{dep}}$  below 1200° C, amorphous deposits were formed on the graphite substrate over the whole range of  $P_{\text{tot}}$  (region A) studied. The maximum thickness of an

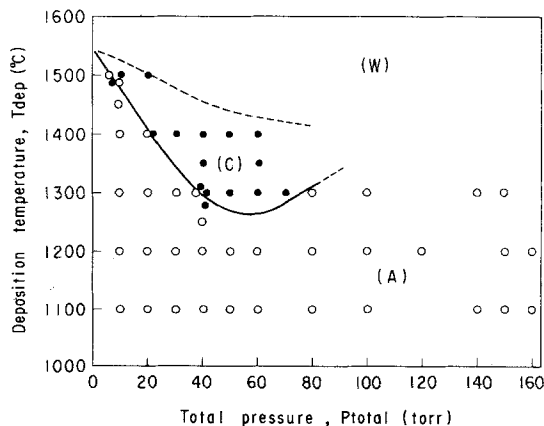


Figure 4 Effect of the deposition temperature ( $T_{\text{dep}}$ ) and the total pressure ( $P_{\text{tot}}$ ) on the structure of Py–Si<sub>3</sub>N<sub>4</sub>. (A) Amorphous Py–Si<sub>3</sub>N<sub>4</sub>; (C) crystalline Py–Si<sub>3</sub>N<sub>4</sub>; (W) no deposits (whisker or needle-like Si<sub>3</sub>N<sub>4</sub>).

amorphous deposit was 4.2 mm. At 1300° C, the structure depended on  $P_{\text{tot}}$ ; it was amorphous at  $P_{\text{tot}}$  below 40 Torr and above 75 Torr, while crystalline between 40 and 75 Torr. At 1400 and 1500° C, crystalline deposits were obtained above 20 and 5 to 10 Torr, respectively (region C). The maximum thickness of a crystalline deposit was 4.6 mm.

X-ray diffraction patterns of amorphous and crystalline deposits are included in Fig. 5a and b, respectively. Detailed analysis of the X-ray results

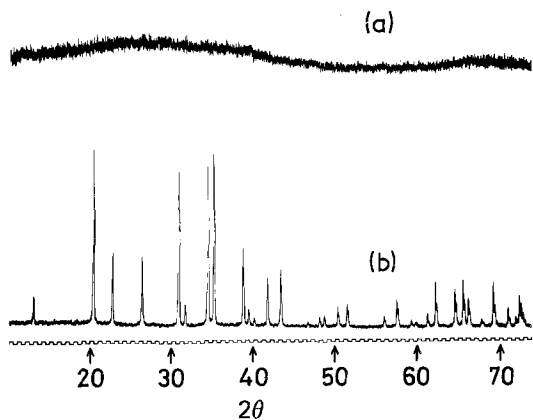


Figure 5 Typical X-ray diffraction patterns. (a) Amorphous  $\text{Py-Si}_3\text{N}_4$  ( $T_{\text{dep}} = 1300^\circ \text{C}$ ,  $P_{\text{tot}} = 30$  Torr); (b) crystalline  $\text{Py-Si}_3\text{N}_4$  ( $T_{\text{dep}} = 1400^\circ \text{C}$ ,  $P_{\text{tot}} = 40$  Torr); ( $\text{CuK}\alpha$  radiation filtered with Ni).

indicates that all the crystalline deposits are a hexagonal type  $\alpha\text{-Si}_3\text{N}_4$  belonging to the  $P31c$  space group [21, 22].

To confirm homogeneity of the deposits, electron probe microanalysis was carried out on the deposition and cross-sectional surfaces. Special attention was taken to observe whether or not segregation of silicon in cone boundaries took place. Fig. 6 shows the results obtained for amorphous deposits; the positions of the cone boundaries are marked by arrows. No changes in silicon and nitrogen contents were observed at the cone boundaries in either the cross-sectional or the deposition surface. The results for crystalline deposits were similar to those for amorphous deposits. The results indicates that the deposits were homogeneous and that silicon does not segregate in the cone boundaries.

The silicon and oxygen contents of  $\text{Py-Si}_3\text{N}_4$  determined by electron probe microanalysis is summarized in Table I, which also includes the structures and colours of the deposits. The silicon contents of amorphous and crystalline deposits were close to the theoretical composition (60.08 wt %) as indicated in Table I. The composition of the deposits prepared in this experiment is seen to correspond to stoichiometric  $\text{Si}_3\text{N}_4$ . However, the oxygen content increased with decreasing  $T_{\text{dep}}$  and  $P_{\text{tot}}$ , and was higher in the amorphous deposits than in the crystalline deposits.

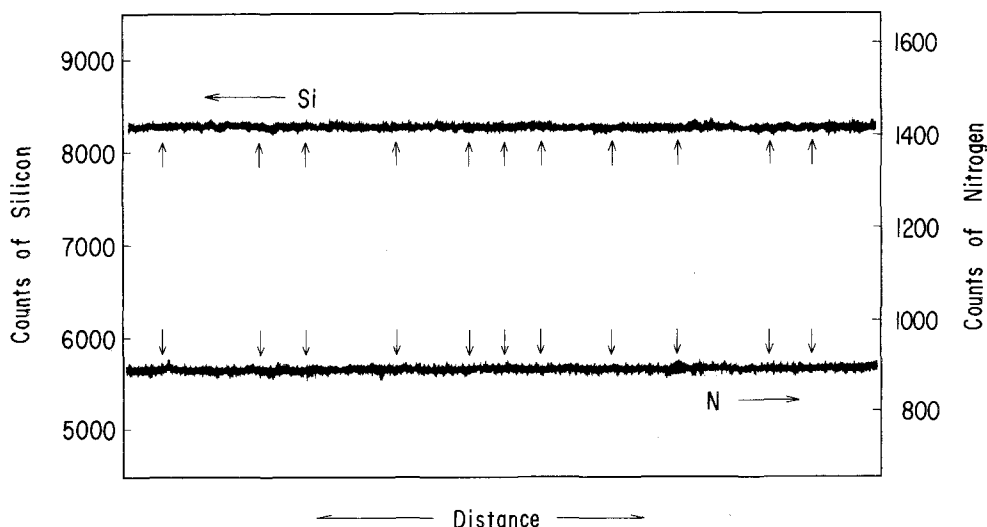


Figure 6 The results of electron probe microanalysis for silicon and nitrogen contents of the amorphous deposit surface prepared at  $T_{\text{dep}} = 1200^\circ \text{C}$  and  $P_{\text{tot}} = 40$  Torr.  $\uparrow$ , the position of the cone boundaries.

TABLE I Composition and colour of Py-Si<sub>3</sub>N<sub>4</sub> prepared under various conditions.

Deposition conditions		Composition (wt %)		Structure*	Colour
<i>T</i> <sub>dep</sub> (°C)	<i>P</i> <sub>tot</sub> (Torr)	Silicon	Oxygen		
1200	40	59.1	2.2	A	white
1300	20	58.8	2.1	A	white
1300	40	60.3	0.7	A + C	dark brown
1300	50	59.4	0.6	C	brown
1300	60	59.2	0.4	C	purple
1400	10	58.8	1.6	A	white
1400	30	59.7	0.6	C	brown
1400	50	59.6	0.3	C	black
Theoretical composition		60.08	0		

\*A: amorphous, C: crystalline (α-Si<sub>3</sub>N<sub>4</sub>).

### 3.1. Amorphous Py-Si<sub>3</sub>N<sub>4</sub>

As shown in Fig. 5, X-ray diffraction patterns of the deposits prepared in region A in Fig. 4 indicate that the deposits had amorphous structures. Examples of amorphous Py-Si<sub>3</sub>N<sub>4</sub> (with the graphite substrates) are shown in Fig. 7. Amorphous deposits prepared under the various conditions were translucent in thin deposits (Figs. 7A and 8) and showed a white colour and metallic brightness in thick deposits (Fig. 7B). The brightness increased with *T*<sub>dep</sub>. The degree of translucence decreased with increase in thickness.

Surface structures of amorphous deposits may be divided into three types, as shown in Figs. 9 to 11. The first typical surface structure was formed

at low *T*<sub>dep</sub> below 1200° C and low *P*<sub>tot</sub> below 20 Torr. An example is shown in Fig. 9. Only the primary pebble structure can be observed in the deposits. The pebble structure corresponds to the top of growth cones. Fig. 10 shows the second typical surface structure which was obtained over the range of higher *T*<sub>dep</sub> and *P*<sub>tot</sub> in region A. In this case, large primary cones are composed of

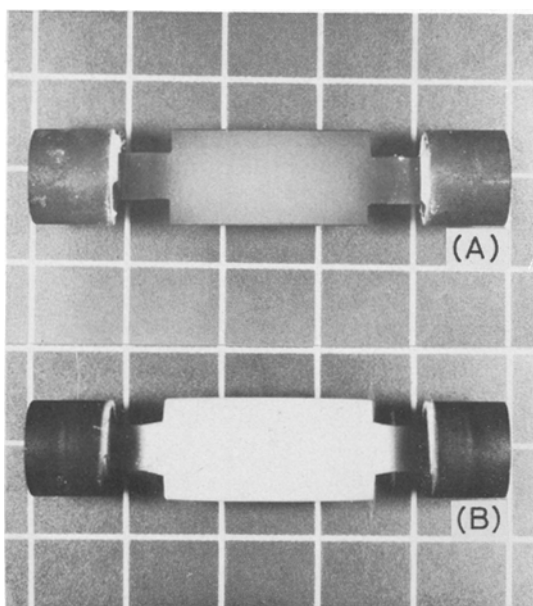


Figure 7 Amorphous Py-Si<sub>3</sub>N<sub>4</sub> (1 division = 13 mm). (A) *T*<sub>dep</sub> = 1100° C, *P*<sub>tot</sub> = 10 Torr; 2 h; (B) *T*<sub>dep</sub> = 1300° C, *P*<sub>tot</sub> = 30 Torr, 3 h.

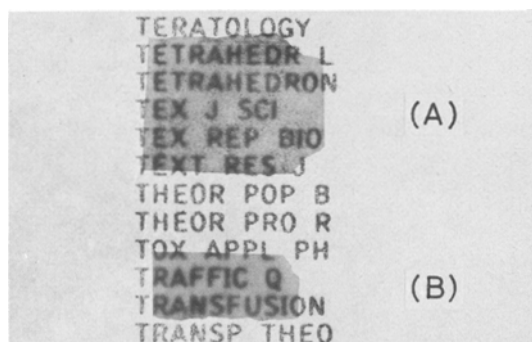


Figure 8 Translucent amorphous Py-Si<sub>3</sub>N<sub>4</sub>. (A) *T*<sub>dep</sub> = 1200° C, *P*<sub>tot</sub> = 10 Torr, 2 h, thickness = 0.085 mm, colour = white; (B) *T*<sub>dep</sub> = 1300° C, *P*<sub>tot</sub> = 80 Torr, 3 h, thickness = 0.090 mm, colour = white.

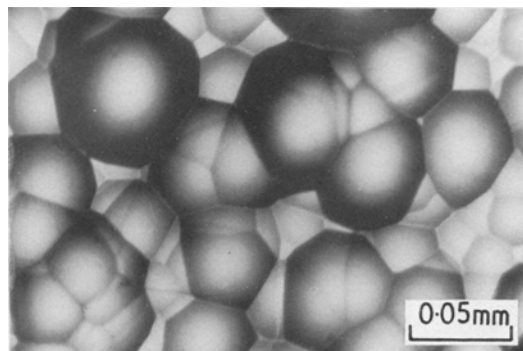


Figure 9 Surface structure of amorphous Py-Si<sub>3</sub>N<sub>4</sub> prepared at *T*<sub>dep</sub> = 1200° C and *P*<sub>tot</sub> = 10 Torr.

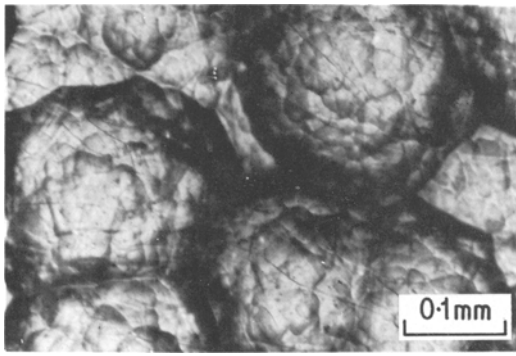


Figure 10 Surface structure of amorphous  $\text{Py-Si}_3\text{N}_4$  prepared at  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 30$  Torr.

secondary cones. Figs. 11a and b indicate the third typical surface which was found in the amorphous deposits prepared at the boundary zone between regions A and C. Large primary cones include numerous small secondary pebble-like cones. The surface of secondary cones (Fig. 11b) is smooth and similar to that in Fig. 9.

An example of the cross-sectional structure is shown in Fig. 12. This cross-sectional structure was observed in the deposits with the surface structure of Fig. 10. The primary and secondary cone boundaries are confirmed in this figure. In the deposits with the surface structure of Fig. 9, the cone boundaries were not detected clearly.

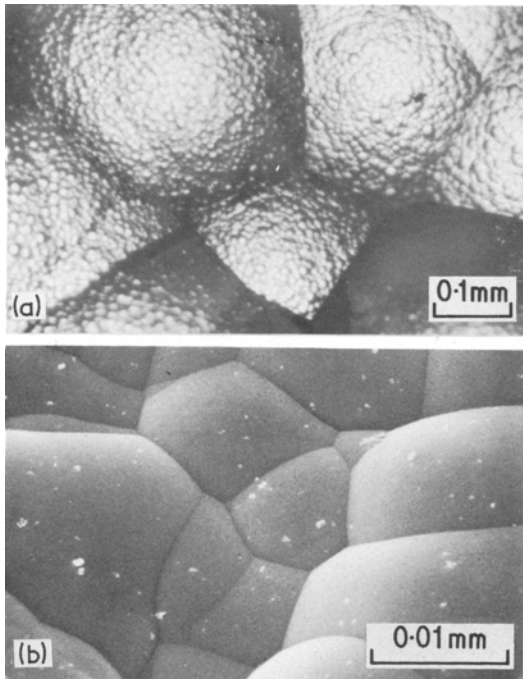


Figure 11(a) Surface structure of amorphous  $\text{Py-Si}_3\text{N}_4$  prepared at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}} = 20$  Torr. (b) Scanning electron micrograph of (a) at higher magnification.

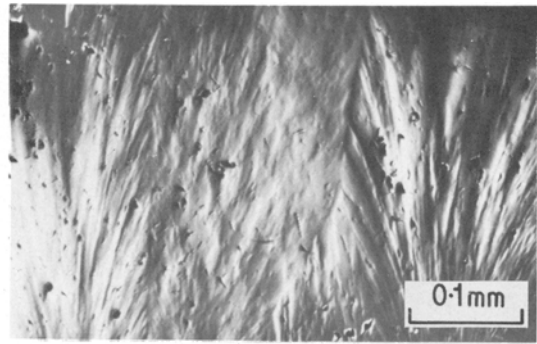


Figure 12 Cross-sectional structure of the amorphous deposit prepared at  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 30$  Torr.

### 3.2. Crystalline $\text{Py-Si}_3\text{N}_4$

Fig. 5b shows that the crystal structure of crystalline  $\text{Py-Si}_3\text{N}_4$  prepared in region C is the  $\alpha$ -type hexagonal structure. Amorphous  $\text{Py-Si}_3\text{N}_4$  was white as described already, while the surface of crystalline deposits was white, brown, dark purple and black depending on the deposition process conditions as listed in Table I. Thin crystalline deposits were also translucent as shown in Fig. 13. An example of a crystalline deposit with the graphite substrate is shown in Fig. 14. In some cases

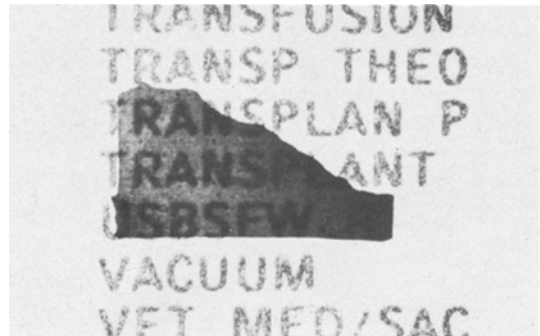


Figure 13 Translucent crystalline  $\text{Py-Si}_3\text{N}_4$ .  $T_{\text{dep}} = 1300^\circ\text{C}$ ,  $P_{\text{tot}} = 60$  Torr, 2 h, thickness = 0.22 mm, colour = brown.

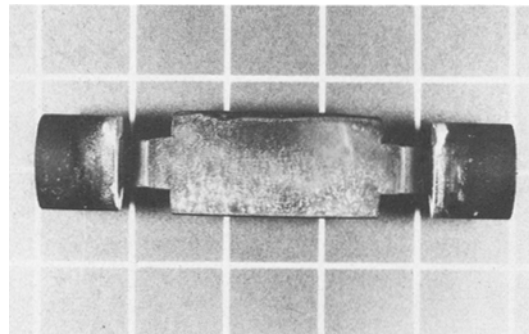


Figure 14 Crystalline  $\text{Py-Si}_3\text{N}_4$  (1 division = 13 mm).  $T_{\text{dep}} = 1300^\circ\text{C}$ ,  $P_{\text{tot}} = 60$  Torr, 2 h.

the crystalline deposits showed the marked brightness resulting from a preferred orientation of grains. The relation between the preferred orientation and deposition conditions will be reported elsewhere.

Surface structures of crystalline deposits were remarkably different from those of amorphous deposits, and depended strongly on deposition

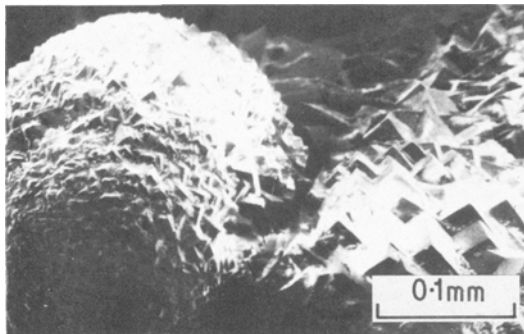


Figure 15 Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 50$  Torr.

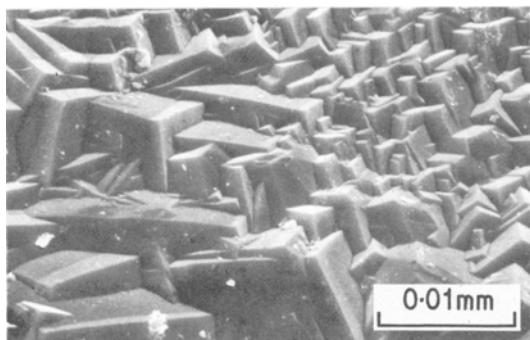
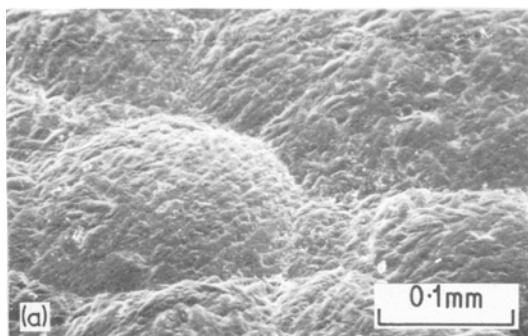


Figure 16 Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 60$  Torr.



conditions, as shown in Figs. 15 to 17.

At  $T_{\text{dep}} = 1300^\circ\text{C}$ , surface structures varied with  $P_{\text{tot}}$ . At  $P_{\text{tot}} = 50$  Torr (Fig. 15), growth cones were observed (two cones in Fig. 15) and each cone was composed of a number of small crystals which had well-defined corners (near  $90^\circ$ ). At  $P_{\text{tot}} = 60$  Torr (Fig. 16), no growth cones were found and the whole surface was made up of well-defined crystals. The surface of each crystal was smooth. It is obvious from Fig. 16 that the faceted growth occurs and that each grain has nearly the same orientation. At  $P_{\text{tot}} = 70$  Torr, the presence of primary and secondary growth cones was observed as shown in Fig. 17a. However, the secondary growth cone was composed of small crystals of varying type (Fig. 17b). The results mentioned above imply that growth cones appear near the A-C boundary (see Fig. 4).

At  $T_{\text{dep}} = 1400^\circ\text{C}$ , the surface structures are shown in Figs. 18 to 21. At  $P_{\text{tot}} = 20$  Torr (near the A-C boundary), growth cones were composed of small crystals (Fig. 18a). Not all crystal surfaces were smooth and not all sharp (Fig. 18b), in contrast to those in Fig. 16. Fig. 19 shows that the deposits prepared at  $P_{\text{tot}} = 30$  Torr have well-defined crystals with no growth cones, as in the case of Fig. 16. At  $P_{\text{tot}} = 50$  Torr, Fig. 20 reveals a different type of crystal growth in which another crystal face exists at the edge of almost each small crystal.

At  $T_{\text{dep}} = 1500^\circ\text{C}$ , Fig. 21a shows growth cones composed of small crystals, as obtained at  $P_{\text{tot}} = 10$  Torr. The surface of the crystal is not smooth and seems to be corrugated.

Fig. 22 indicates the typical cross-sectional structure of crystalline deposits. Similar structure was observed in all the crystalline deposits.

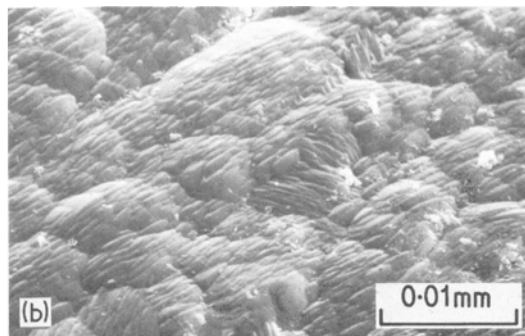


Figure 17(a) Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 70$  Torr. (b) Higher magnification of (a).

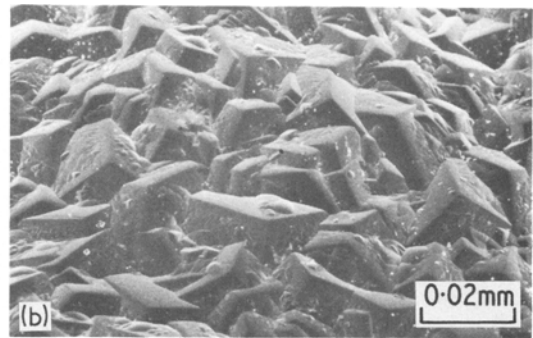
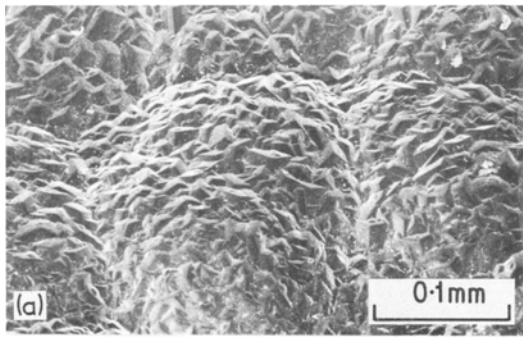


Figure 18(a) Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}} = 20$  Torr. (b) Higher magnification of (a).

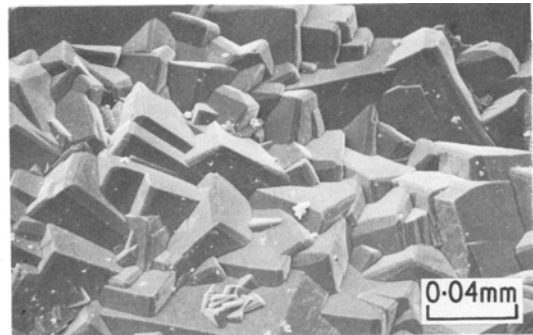
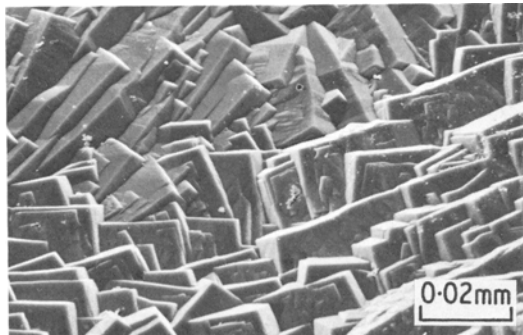


Figure 19 Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}} = 30$  Torr.

Figure 20 Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}} = 50$  Torr.

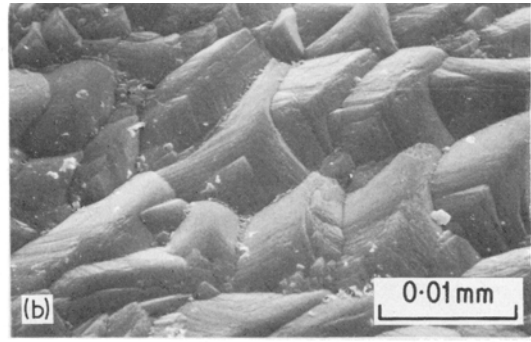
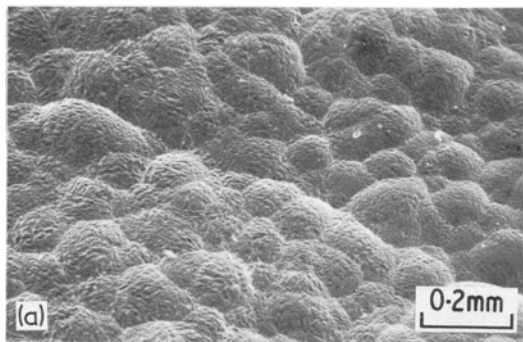


Figure 21(a) Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1500^\circ\text{C}$  and  $P_{\text{tot}} = 10$  Torr. (b) Higher magnification of (a).

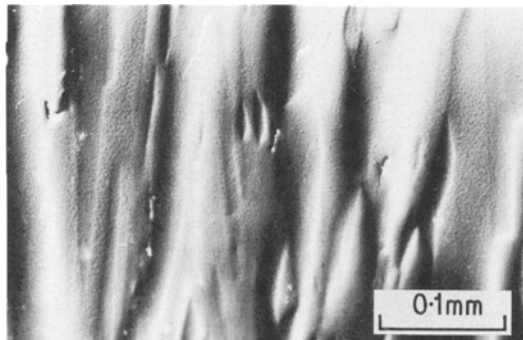


Figure 22 Cross-sectional structure of the crystalline deposit prepared at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}} = 50$  Torr.

## 4. Discussion

### 4.1. Preparation of Py-Si<sub>3</sub>N<sub>4</sub>

Py-Si<sub>3</sub>N<sub>4</sub> can be prepared by reacting Si or SiH<sub>4</sub> with N<sub>2</sub>, NH<sub>3</sub> or N<sub>2</sub>H<sub>4</sub> and also silicon halides with N<sub>2</sub> + H<sub>2</sub> mixtures, NH<sub>3</sub> or N<sub>2</sub>H<sub>4</sub>. Most of the previous work on the preparation of Py-Si<sub>3</sub>N<sub>4</sub> has been done using SiH<sub>4</sub> [3, 11–13, 22] and SiCl<sub>4</sub> [2, 3, 12–14] which have sufficient vapour pressures at room temperature. SiCl<sub>4</sub> is inexpensive, safe and easy to handle compared with SiH<sub>4</sub>. Moreover, SiCl<sub>2</sub> produced from SiCl<sub>4</sub> in the gas phase and SiCl<sub>4</sub> are relatively stable and can only be completely reduced by excess H<sub>2</sub> [23]. Reactions



TABLE II Experimental data on Py-Si<sub>3</sub>N<sub>4</sub> deposition

Reference	Reactants/carrier	$T_{\text{dep}}$ (°C)	$P_{\text{tot}}$ (Torr)	Thickness (mm)	Structure†
Chu <i>et al.</i> [3]	SiCl <sub>4</sub> + NH <sub>3</sub> /H <sub>2</sub>	1000~1200	760	F*	A
Bean <i>et al.</i> [12]	SiH <sub>4</sub> + NH <sub>3</sub> /H <sub>2</sub>	600~1400	760	F*	A + C
Galasso <i>et al.</i> [17]	SiF <sub>4</sub> + NH <sub>3</sub>	1100~1550	1 ~ 10	—	C
Airey <i>et al.</i> [10]	SiH <sub>4</sub> + NH <sub>3</sub> /N <sub>2</sub> (H <sub>2</sub> )	800~1200	760	0.8	A
	SiCl <sub>4</sub> + NH <sub>3</sub> /N <sub>2</sub> (Ar)	1100~1380	760	—	A + C
This work	SiCl <sub>4</sub> + NH <sub>3</sub> /H <sub>2</sub>	1100~1500	5 ~ 300	4.2	A
	SiCl <sub>4</sub> + NH <sub>3</sub> /H <sub>2</sub>	1300~1500	5 ~ 70	4.6	C

\* F: thin film (in the order of 10<sup>-3</sup> mm), † A: amorphous, C: crystalline ( $\alpha$ -Si<sub>3</sub>N<sub>4</sub>).

of silicon halides with NH<sub>3</sub> are more favourable than those with N<sub>2</sub>, because the free energy of formation of NH<sub>3</sub> is higher than that of N<sub>2</sub>. The choice of NH<sub>3</sub> is also advantageous kinetically; N<sub>2</sub> is chemically inert owing to the large bond energy in the molecule [3], while NH<sub>3</sub> is chemically active. Consequently, the SiCl<sub>4</sub> + NH<sub>3</sub>/H<sub>2</sub> system was chosen in the present experiments.

The experimental data which have realized the relatively high deposition rates are listed in Table II. Up to the present, the thickest Py-Si<sub>3</sub>N<sub>4</sub> coating of 0.8 mm was achieved by Airey *et al.* [10]. In the present experiments, however, Py-Si<sub>3</sub>N<sub>4</sub> up to 4.6 mm thick is prepared at a deposition rate of 0.73 mm h<sup>-1</sup>.

#### 4.2. Formation of whisker or needle-like Si<sub>3</sub>N<sub>4</sub>

At high  $T_{\text{dep}}$  and  $P_{\text{tot}}$  (region W in Fig. 4) no deposit was formed on the graphite substrate, while whisker or needle-like Si<sub>3</sub>N<sub>4</sub> were grown on the upper part of the substrate. This material was found to be a single crystal. The maximum size of a crystal was 1.5 mm diameter and 15 mm long. The growth direction seems to be related to the decomposition zone of gas phases. Details of this material will be described elsewhere.

#### 4.3. Heterogeneous Py-Si<sub>3</sub>N<sub>4</sub>

Deposits of mixed amorphous and crystalline structures were obtained at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}} = 20$  Torr and  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 40$  Torr (A-C boundary). The formation of heterogeneous deposits is probably due to a heterogeneous distribution of some gaseous species in the gas phase. In some runs, the crystalline deposits were formed on amorphous layers.

#### 4.4. Surface structure of Py-Si<sub>3</sub>N<sub>4</sub>

The pebble structure of an amorphous deposit, shown in Fig. 9, bears a close resemblance to that

observed in siliconated pyrolytic graphite [24]. As shown in Fig. 9, the boundary lines among the cones intersect with each other at an angle of about 120°. This fact may suggest that the deposit is formed through a liquid state, as discussed in the previous work [24].

In most of the crystalline deposits, the surface formed at the edge of the substrate (Fig. 23) is different from that at the flat part of the substrate. The crystals shown in Fig. 23 have curved faces that seem to arise from the difference of gas flow patterns.

An inspection of Figs. 9 to 11 and 15 to 21 shows that the surface structure of amorphous and

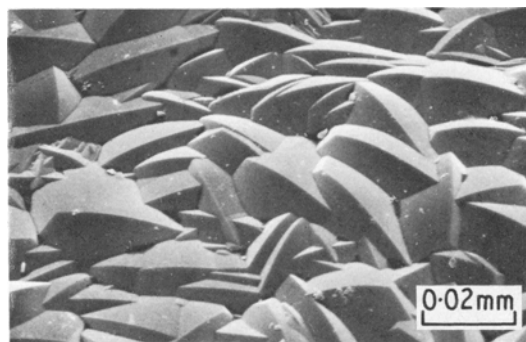


Figure 23 Scanning electron micrograph of the surface of Py-Si<sub>3</sub>N<sub>4</sub> prepared at  $T_{\text{dep}} = 1300^\circ\text{C}$  and  $P_{\text{tot}} = 60$  Torr.

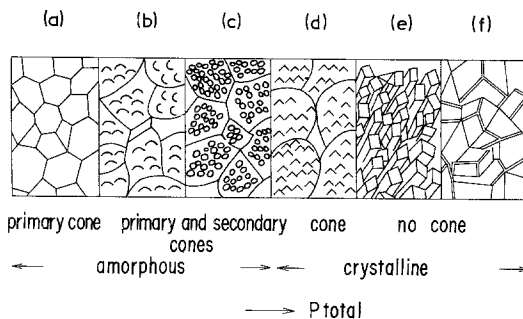


Figure 24 Change in the surface structure with the total pressure ( $P_{\text{tot}}$ ). (a) See Fig. 9; (b) see Fig. 10; (c) see Fig. 11a; (d) see Fig. 15; (e) see Fig. 16; (f) see Fig. 20.

crystalline deposits depends strongly on  $P_{\text{tot}}$ , which is summarized in Fig. 24.

Airey *et al.* [10] reported that all amorphous coatings prepared by reacting  $\text{SiH}_4$  or  $\text{SiCl}_4$  with  $\text{NH}_3$  at atmospheric pressure showed a botryoidal texture which varied according to  $T_{\text{dep}}$ ; at  $T_{\text{dep}} = 900^\circ\text{C}$  ( $\text{SiH}_4 + \text{NH}_3$ ) the botryoids were an open "cauliflower" type composed of smaller clusters of particles (cf. Fig. 10), while at  $T_{\text{dep}} = 1100$  to  $1300^\circ\text{C}$  ( $\text{SiCl}_4 + \text{NH}_3$ ) the botryoids appeared to be dense. They also obtained crystalline deposits with well-rounded botryoids and clusters at  $\sim 0.02\text{ mm h}^{-1}$  and  $1300^\circ\text{C}$ , and with no botryoidal structure at  $\sim 0.012\text{ mm h}^{-1}$  at  $1330^\circ\text{C}$ . Galasso *et al.* [17] observed a surface structure similar to that in Fig. 16 on Py– $\text{Si}_3\text{N}_4$  prepared by reacting  $\text{SiF}_4$  with  $\text{NH}_3$  at  $T_{\text{dep}} = 1100$  to  $1500^\circ\text{C}$  and  $P_{\text{tot}} = 1$  to 10 Torr.

#### 4.5. Cross-sectional structure of Py– $\text{Si}_3\text{N}_4$

Airey *et al.* [10] reported that the polished and etched cross-section was completely featureless. There seem to be no reports on the cross-sectional structure of Py– $\text{Si}_3\text{N}_4$ . In this work, as shown in Fig. 12, primary and secondary cone boundaries were revealed for amorphous deposits. However, the cone boundaries of amorphous deposits with only primary cones (Fig. 8) were barely detectable. Also for siliconated pyrolytic graphite [24], cone boundaries of deposits with similar surface structure were not observed clearly. For crystalline deposits, the cross-sectional structure (Fig. 22) suggests the preferred orientation of crystals.

#### 4.6. Cracking in Py– $\text{Si}_3\text{N}_4$

All of amorphous deposits were adherent to the graphite substrate. However, it was observed by means of SEM that all amorphous deposits included cracks and that cracks were formed during the deposition. The amount of cracks decreased with increasing  $T_{\text{dep}}$ .

On the other hand, all the crystalline deposits obtained at  $T_{\text{dep}} = 1300^\circ\text{C}$  were uncracked and adherent to the graphite substrate, and those at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}}$  below 70 Torr were uncracked but non-adherent. The crystalline deposits at  $T_{\text{dep}} = 1400^\circ\text{C}$  and  $P_{\text{tot}}$  above 70 Torr or in region W in Fig. 4 were flaky and exfoliated during the deposition. X-ray diffraction examination identified the thin film of  $\beta\text{-SiC}$  with a green colour between the graphite substrate and the deposits at  $T_{\text{dep}}$  above  $1400^\circ\text{C}$ . Therefore, the

fact that crystalline deposits were non-adherent or flaky above  $1400^\circ\text{C}$  is attributed to the reaction between the graphite substrate and  $\text{Si}_3\text{N}_4$  deposits.

Many workers on thin amorphous films reported that the cracking occurred in any amorphous deposits whose thickness exceeded 0.001 mm. Kohler [15] reported that the critical thickness of the crack free-film was 1800 Å for the  $\text{SiCl}_4 + \text{NH}_3/\text{H}_2$  system and that cracks were initiated at impurity particles at the substrate-nitride interface in most cases. Other workers [4, 8, 13, 15, 25] attributed the cracking to structural changes, composition changes, thermal expansion mismatch within the deposit and between the substrate and the film, and initial substrate conditions.

#### 4.7. Composition of Py– $\text{Si}_3\text{N}_4$

Wild *et al.* [26], Colquhoun *et al.* [27] and Feld *et al.* [28] deduced that  $\alpha\text{-Si}_3\text{N}_4$  had a composition range of  $\text{Si}_{11.4}\text{N}_{15}\text{O}_{0.3}$  (0.90 wt % oxygen) and  $\text{Si}_{11.5}\text{N}_{15}\text{O}_{0.5}$  (1.48 wt % oxygen) by X-ray, chemical and thermodynamic analyses.

However, Priest *et al.* [29] and Edward *et al.* [30] have recently reported that  $\alpha\text{-Si}_3\text{N}_4$  prepared by a CVD method and the reaction bonded  $\alpha\text{-Si}_3\text{N}_4$  had the oxygen contents of 0.300 and 0.26 to 0.53 wt %, respectively. It appears that our experimental evidence supports the results of Priest *et al.* [29].

Airey *et al.* [10] reported that the colour of deposits varied from white to black according to  $T_{\text{dep}}$  in the  $\text{SiH}_4 + \text{NH}_3/\text{N}_2$  (or  $\text{H}_2$ ) and  $\text{SiCl}_4 + \text{NH}_3/\text{N}_2$  (or Ar) systems. Galasso *et al.* [17] also reported that the surface varied from white to black and the black surface was obtained when high  $\text{NH}_3/\text{SiF}_4$  gas ratios were used.

Table I indicates that the variation in colour of the deposits may be attributed to the oxygen content, and both depend on  $T_{\text{dep}}$  and  $P_{\text{tot}}$ .

### 5. Conclusions

(1) Massive amorphous and crystalline Py– $\text{Si}_3\text{N}_4$  were successfully prepared. The maximum thickness was 4.2 mm for amorphous deposits and 4.6 mm for crystalline deposits. The structure depended strongly on  $T_{\text{dep}}$  and  $P_{\text{tot}}$ . Amorphous deposits were obtained at relatively low  $T_{\text{dep}}$  of 1100 and  $1200^\circ\text{C}$ . At  $1300^\circ\text{C}$ , the amorphous deposits were formed at  $P_{\text{tot}} = 10$  to 40 and 80 to 300 Torr; the crystalline deposits were obtained at  $P_{\text{tot}} = 40$  to 70 Torr. The X-ray diffraction results indicated that crystalline deposits are  $\alpha\text{-Si}_3\text{N}_4$ .

(2) For amorphous deposits, three types of cone structures were observed; i.e. (a) primary pebble-like cones at low  $T_{\text{dep}}$  and  $P_{\text{tot}}$ , (b) large primary cones composed of secondary cones at high  $T_{\text{dep}}$  and high  $P_{\text{tot}}$ , (c) large primary cones composed of secondary pebble-like cones at the A-C boundary.

(3) The surface of crystalline deposits was composed of oriented small crystals and was markedly affected by  $P_{\text{tot}}$ .

(4) Thin amorphous deposits were translucent, while thick ones were white. On the other hand, thin crystalline deposits were also translucent, but thick ones varied in colour from black to white depending on oxygen content.

(5) The oxygen content increased with decreasing  $T_{\text{dep}}$  and  $P_{\text{tot}}$ . Amorphous deposits contained 1.6 to 2.2 wt % oxygen.

(6) The silicon content was close to a theoretical composition for both deposits.

(7) No segregation of silicon and nitrogen at cone boundaries was detected.

(8) At low  $T_{\text{dep}}$  and low  $P_{\text{tot}}$ , amorphous deposits seem to be formed from the liquid state.

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